Chapter 5:

# Synthesis & Characterization of

# **Rare Earth Doped LaOF:**

# Investigation of Optical, Down

# conversion and Upconversion

# **Properties**

### Abstract:

In this work, a simple route of synthesis for Nanoparticles (NP) of LaOF has been attempted. The Aegle marmelos gel (Bael leaves extract) assisted precipitation method was used to synthesize NP of undoped as well as doped LaOF. Four samples  $\{Pr^{3+}: LaOF, Eu^{3+}: LaOF, Tb^{3+}: LaOF, & Dy^{3+}: LaOF \}$  to study the down conversion properties and six samples  $\{44\% Yb^{3+} - 1\% Er^{3+}: LaOF, 12\% Yb^{3+} - 2\% Er^{3+}: LaOF, 4\% Yb^{3+} - 1\% Ho^{3+}: LaOF, 12\% Yb^{3+} - 2\% Ho^{3+}: LaOF, 4\% Yb^{3+} - 1\% Tm^{3+}: LaOF & 12\% Yb^{3+} - 2\% Tm^{3+}: LaOF \}$  to study the upconversion properties were synthesized by this technique. The Aegle marmelos gel acts as a biosurfactant that controls the particle growth. The structural, elemental, morphological, optical and photoluminescence characterization was carried out on synthesized samples. The XRD & EDAX analysis reveals that the obtained compounds possesses high purity in the hexagonal phase and have crystallite size in nanometer. The optical bandgap and refractive index have been calculated from absorption characteristics obtained from UV – Visible spectra. From the SEM results, the average size of synthesized particles was found to be in the range of 34 nm to 88 nm with spherical shape.

*Keyword:* XRD, Aegle marmelos gel, UV-Visible analysis, PL analysis

Graphical abstract:





### 5.1 Introduction:

The morphology of materials is an important factor that affects the physical and chemical properties of the compounds. As the size of compounds or particles reduces to the Nanoscale, the particles' physical properties and chemical properties are greatly enhanced. This aspect has led to the rapid growth of nanotechnology. An appropriate synthesis technique has less complexity and gives high yield. It must be environment and industry friendly.

In this work, extract of Bael leaves, also known as Aegle marmelos gel-assisted precipitation method has been presented to synthesize nanoparticles of Lanthanum Oxyfluoride. The LaOF has remarkable applications in many scientific areas such as temperature sensing [1] [9], red-emitting phosphors [2], latent fingerprints sensor [3], solar cells [4],WLED phosphor [5], glass-ceramics [6], upconversion phosphor [7][8], biotechnology [10], anti-counterfeiting [11], thermal sensor [12], photocatalytic hydrogen production [13], etc.

These are several methods that have been reported previously for the synthesis of nanoparticles of LaOF, such as the sonochemical method [10][14], combustion synthesis technique [12][12][17], hydrothermal synthesis [13], solid-state technique [15], mechanosynthesis method [16], Aloe Vera based precipitation method [18], thermal decomposition method [19], stearic acid method [20], two-step hydrothermal method [21] and PITE assisted precipitation method [22].

In this work, pristine LaOF, four downconversion samples {1%Pr<sup>3+</sup>: LaOF, 1%Eu<sup>3+</sup>: LaOF, 1%Eu<sup>3+</sup>: LaOF, 1%Dy<sup>3+</sup>: LaOF} and six upconversion samples {4%Yb<sup>3+</sup>-1% Er<sup>3+</sup>: LaOF, 12%Yb<sup>3+</sup>-2% Er<sup>3+</sup>: LaOF, 4%Yb<sup>3+</sup>-1% Ho<sup>3+</sup>: LaOF. 12%Yb<sup>3+</sup>-2% Ho<sup>3+</sup>: LaOF, 4%Yb<sup>3+</sup>-1% Tm<sup>3+</sup>: LaOF and 12%Yb<sup>3+</sup>-2% Tm<sup>3+</sup>: LaOF} were synthesized by Bael leaves extract assisted precipitation method. The Bael extract, which is also known as Aegle marmelos gel was

prepared from fresh Bael leaves. In the synthesis process, the Aegle marmelos acts as biosurfactants that helps minimize the growth of LaOF particles. Thus reduces the particle size.

The Bael tree is considered to be sacred in Indian culture. The medical application of these Bael leaves is described in Rigveda, which is written over a thousand years ago.

### 5.2 Experimental Procedure:

One sample of pristine LaOF, four samples of down conversion phosphors {1% Pr<sup>3+</sup>: LaOF, 1% Eu<sup>3+</sup>: LaOF, 1% Tb<sup>3+</sup>: LaOF, 1% Dy<sup>3+</sup>: LaOF} and six samples of up-conversion phosphors {4% Yb<sup>3+</sup> - 1% Er<sup>3+</sup>: LaOF, 12% Yb<sup>3+</sup> - 2% Er<sup>3+</sup>: LaOF, 4% Yb<sup>3+</sup> - 1% Ho<sup>3+</sup>: LaOF, 12% Yb<sup>3+</sup> - 2% Ho<sup>3+</sup>: LaOF, 4% Yb<sup>3+</sup> - 1% Tm<sup>3+</sup>: LaOF, 12% Yb<sup>3+</sup> - 2% Tm<sup>3+</sup>: LaOF} were synthesized by modified precipitation synthesis technique.

In the synthesis process of pristine LaOF, stoichiometric amount of  $La(NO_3)_36H_2O$  and  $NH_4F$  was dissolved in 100 ml De-ionized (DI) water and 20 ml of Bael leaf (Aegel Marmelous) extract was mixed with it.

For Bael leaf extract, a known amount (5gm) of freshly collected, taxonomically authenticated healthy leaves of Bael were washed thoroughly in tap water in the laboratory for 10 min in order to remove the dust particles and rinsed briefly in sterile distilled water. The leaves were dried naturally and crushed into powder using mortar and pestle. 200 ml water was added into the grinded powder of Bael leaves and the solution was heated at constant temperature of 70°C. The aqueous extract was cooled to room temperature and filtered through Whatman filter paper.



The mixture [La(NO<sub>3</sub>)<sub>3</sub>6H<sub>2</sub>O plus NH<sub>4</sub>F plus 100 ml De-ionized (DI) water plus 20 ml of Aegel Marmelous] was poured into the borosil flask and continuously stirred on the magnetic stirrer for 2 hours at room temperature and the pH value was checked at regular intervals. As the pH value reached 3, the heat was applied to the solution to attain at a constant temperature of 50°C. NaOH solution was added drop by drop to turn the mixture acidic to neutral. The generation of precipitates starts on adding NaOH. The residues were filtered using Whatman filter paper. The residues were put in the oven at a constant temperature of 70<sup>0</sup> C. The obtained particles were crushed into the powder form with the help of mortar and pastel. The same procedure was applied for the synthesis of four down-conversion samples and six up-conversion samples.

The XRD, UV – Visible, SEM - EDAX & Photoluminescence studies were carried out to analyze their structural, morphological, optical & photoluminescence properties.



# 5.3 Results and Analysis of down conversion samples:



Figure 5.1 XRD of pristine and doped LaOF

Synthesized	Phase	Matching	Avg.	Lattice	Volume
	Name with	Jcpds file	Crystallite	Parameters (A°)	(A°) <sup>3</sup>

Materials	Space Group	no.	Size (nm)	a	b	с	
LaOF			18.44				
1% Pr <sup>3+</sup> : LaOF	Tetragonal P 4/ n m m	89-5168	18.19	4.09	4.09	5.85	98.064
1% Eu <sup>3+</sup> : LaOF			17.43				
1% Tb <sup>3+</sup> : LaOF			16.02				
1% Dy <sup>3+</sup> : LaOF			15.45				

#### Table5.1 Structural data of doped LaOF samples extracted from the XRD spectra

A Rigaku smartlab diffractometer operating at 30 mA, 40 kV with Cu  $K_{\alpha}$  radiation in the 20 range from 10 to 90°, with the step of 0.02° was used for XRD characterization. Figure 5.1 shows the XRD spectrum of pristine LaOF along with four samples of down conversion phosphors {1% Pr<sup>3+</sup>: LaOF, 1% Eu<sup>3+</sup>: LaOF, 1% Tb<sup>3+</sup>: LaOF, 1% Dy<sup>3+</sup>: LaOF}. The structural data from the XRD pattern (fig. 5.1) is given in table 5.1. The peaks match with the JCPDS files no. 89-5168. All the samples have tetragonal structure with space group P 4/n m m. The highest peaks are observed at 20 values of 26°19' with the hkl plan (1 0 1) in all samples. The crystallite size estimated by the Scherrer equation shows a small decrease from pristine to doped samples. Since the pattern of the atomic radius is La>Pr>Eu>Tb>Dy, all rare-earth dopant elements have an ionic radius less than the host element Lanthanum. This is the likely reason behind the decrease in crystallite size.



Figure 5.2 (a) EDAX Spectrum with mapping of LaOF



Figure 5.2 (b) EDAX Spectrum with mapping of 1% Pr<sup>3+</sup>: LaOF



Figure 5.2 (c) EDAX Spectrum with mapping of 1% Eu<sup>3+</sup>: LaOF



Figure 5.2 (d) EDAX Spectrum with mapping of 1% Tb<sup>3+</sup>: LaOF



Figure 5.2 (e) EDAX Spectrum with mapping of 1% Dy<sup>3+</sup>: LaOF

Compounds	Elements (Atomic %)							
Compounds	La	0	F	Pr	Eu	Tb	Dy	
LaOF	39.2	28.6	32.2	-	-	-	-	
LaOF: Pr	38.5	28.9	32.3	0.3	-	-	-	
LaOF: Eu	36.5	30.9	32.3	-	0.3	-	-	
LaOF: Tb	35.5	31.9	32.3	-	-	0.3	-	
LaOF: Dy <sup>3+</sup>	33.5	32.9	33.3	-	-	-	0.3	

Table 5.2 EDAX data of 1% Ln<sup>3+</sup>: LaOF from the spectrum with Atomic percentage

Fig. 5.2 (a to e) shows the EDAX Spectrum with mapping of LaOF and 1%  $\text{Ln}^{3+}$ : LaOF. The spectrum shows traces of all lanthanide ions doped into the Lanthanum oxyfluoride and also identifies the elements of the samples. Table 5.2 shows the data extracted from the EDAX spectrum with an atomic percentage in composition.



Figure 5.3 (a) SEM images with histogram of LaOF



Figure 5.3 (b) SEM images with histogram of 1% Pr<sup>3+</sup>: LaOF



Figure 5.3 (c) SEM images with histogram of 1% Eu<sup>3+</sup>: LaOF



Figure 5.3 (d) SEM images with histogram of 1% Tb<sup>3+</sup>: LaOF



Figure 5.3 (e) SEM images with histogram of 1% Dy<sup>3+</sup>: LaOF

Fig. 5.3 represents the SEM images of  $Ln^{3+}$ : LaOF with histogram of particle size distribution. The average particle size was found to be in the range of 34 nm to 88 nm. The morphology was found to be spherical in some samples.

### 5.3.2 UV – Visible analysis:

Shimadzu spectrometer was used for UV-Visible characterization of the samples. A 0.05 M aqueous solution was used for the purpose.



Figure 5.4 UV-Visible characteristics of 1% Ln<sup>3+</sup>: LaOF



Figure 5.5 Tauc's plot for samples

Compounds	λ <sub>max</sub> (nm)	Band Gap (eV)	Refractive index η
LaOF	295	4.56	2.06
1% Pr <sup>3+</sup> : LaOF	290	4.51	2.07
1% Eu <sup>3+</sup> : LaOF	290	4.51	2.07
1% Tb <sup>3+</sup> : LaOF	282	4.58	2.06
1% Dy <sup>3+</sup> : LaOF	286	4.55	2.06

Table 5.3 UV/VIS data of host LaOF as well as 1% Ln<sup>3+</sup>: LaOF

Fig 5.4 shows the absorbance graph of pristine LaOF and four samples of  $1\% \text{Ln}^{3+}$ : LaOF. Fig 5.5 shows the Tauc's plot for the calculation of optical band gap. Table 5.3 gives the data of the wavelength at which maximum absorbance takes place, the optical bandgap and the refractive index. From the fig 5.4, the maximum absorbance peaks are observed at the wavelength between 282 nm to 295 nm. The possible reason for this is the absorption between 2p orbital of  $O^{-2}$  to 4f orbital of  $\text{Ln}^{3+}$ .

For the optical band calculation, Tauc's plot was used [23]. The graph was plotted between  $(\alpha hv)^{1/n} \rightarrow (hv)$  gives the optical band gap value. Here n = 2 taken for the allowed indirect electronic transition. The refractive index  $\eta$  was also calculated [24].

#### 5.3.3 Photoluminescence analysis:

The Photoluminescence excitation and emission spectra were recorded on a Shimadzu make Spectrophotofluorometer.



Figure 5.6 (a) Excitation and Emission spectra of 1%Pr<sup>3+</sup>: LaOF



Figure 5.6 (b) Excitation and Emission spectra of 1%Eu<sup>3+</sup>: LaOF



Figure 5.6 (c) Excitation and Emission spectra of 1%Tb<sup>3+</sup>: LaOF



Figure 5.6 (d) Excitation and Emission spectra of 1%Dy<sup>3+</sup>: LaOF

PL excitation and emission spectra of  $Pr^{3+}$ : LaOF is shown in fig. 5.6 (a). The excitation spectra was recorded at the emission wavelength of  $\lambda_{emi} = 500$  nm. The 4f5d transition in  $Pr^{3+}$ : LaOF is responsible for the excitation band with a maximum wavelength of 251 nm. The emission spectra was recorded at the excitation wavelength of  $\lambda_{emi} = 251$  nm. There is only one intense and sharp emission peak observed at 498 nm. The transition from  ${}^{3}P_{0}$  to ground energy level  ${}^{3}H_{4}$ , is responsible for this peak[25].

From the fig 5.6 (b) for Eu<sup>3+</sup>: LaOF, PL excitation peak is observed at 274 nm for the emission wavelength  $\lambda_{emi} = 650$  nm. The CTS (charge transfer stage) transition is accountable for PL excitation spectra for Eu<sup>3+</sup>: LaOF in which transition between 2p orbital of O<sup>2-</sup> and 4f orbital of Eu<sup>3+</sup> occurs. The emission spectrum was recorded at an excitation wavelength of  $\lambda_{exc} = 274$  nm. There are eight emission peaks, spotted at wavelengths of 512 nm, 538 nm, 555 nm, 587 nm, 592 nm, 597 nm, 612 nm and 625 nm. All are due to 4f-4f the transition. The specific transitions are; <sup>5</sup>D<sub>1</sub> to <sup>7</sup>F<sub>0</sub> for emission at 512 nm; <sup>5</sup>D<sub>1</sub> to <sup>7</sup>F<sub>1</sub> for 538 nm & 555 nm; <sup>5</sup>D<sub>0</sub> $\rightarrow$ <sup>7</sup>F<sub>1</sub> for 587 nm, 590 nm and 597 nm; <sup>5</sup>D<sub>0</sub> $\rightarrow$ <sup>7</sup>F<sub>2</sub> for emission at 612 nm and 625 nm[25].

From fig 5.6 (c) for Tb<sup>3+</sup>: LaOF, the maximum excitation is observed at a wavelength of 275 nm under the emission wavelength  $\lambda_{emi}$  of 550 nm. The 4f5d transition in Tb<sup>3+</sup>: LaOF is accountable for the excitation spectra. Under the excitation of 275 nm, only one strong emission peak is observed. The transition  ${}^{5}D_{4} \rightarrow {}^{7}F_{5}$  is responsible for this intense peak at 550 nm [25].

From fig 5.6 (d) for  $Dy^{3+}$ : LaOF, the excitation spectrum was recorded at an emission wavelength of 600 nm. The excitation was maximum at a wavelength of 228 nm. The host absorption is accountable for excitation in 1%  $Dy^{3+}$ : LaOF. Under the excitation at a wavelength of 228 nm, the highest intensity emission peak was recorded at 578 nm, which is due to the  ${}^{4}F_{9/2} \rightarrow {}^{6}H_{13/2}$  transition [25].



Figure 5.7 Energy level diagram for down conversion photoluminescence

### 5.4.1 Structural analysis:



Figure 5.8 XRD of upconversion samples

Synthesized	Phase Name with Space	Matching Jcpds file	Avg. Crystallite Size (nm)	Lattice Parameters (A°)			Volume $(A^{\circ})^3$
Waterials	Group	no.		a	b	c	
4% Yb <sup>3+</sup> - 1% Er <sup>3+</sup> : LaOF	Tetragonal P 4/ n m m	89-5168	15.21	4.09	4.09	5.85	98.064
12% Yb <sup>3+</sup> - 2% Er <sup>3+</sup> : LaOF			14.11				
4% Yb <sup>3+</sup> - 1% Ho <sup>3+</sup> : LaOF			13.77				
12% Yb <sup>3+</sup> - 2% Ho <sup>3+</sup> : LaOF			12.16				
4% Yb <sup>3+</sup> - 1% Tm <sup>3+</sup> : LaOF			11.64				
12% Yb <sup>3+</sup> - 2% Tm <sup>3+</sup> : LaOF			10.12				

Table5.4 Structural data of doped La<sub>2</sub>O<sub>3</sub> samples extracted from the XRD spectra

## 5.4.2 Upconversion Photoluminescence analysis:



Figure 5.9 (a) Emission characteristics of 12%Yb<sup>3+</sup>-2%Er<sup>3+</sup>: LaOF



Figure 5.9 (b) Emission characteristics of 12%Yb<sup>3+</sup>-2%Ho<sup>3+</sup>: LaOF



Figure 5.9 (c) Emission characteristics of 12%Yb<sup>3+</sup>-2%Tm<sup>3+</sup>: LaOF

For Upconversion Photoluminescence, the emission was recorded on the fiber-optic coupled high-resolution spectrograph (Horiba Jobin-Yvon make FHR 1000 model with ICCD detector). Only three samples give upconversion photoluminescence.

The PL upconversion spectrum given in fig 5.9 (a) for  $12\% Yb^{3+}-2\% Er^{3+}$ : LaOF was recorded under 980 nm excitation. Five peaks were observed at 519 nm, 532 nm, 543 nm, 655 nm and 670 nm. The emission at 519 nm is due to the transition from  ${}^{2}H_{11/2}$  to  ${}^{4}I_{15/2}$ , emission at 532 nm & 543 nm due to the  ${}^{4}S_{3/2}$  to  ${}^{4}I_{15/2}$  transition and emission at 655 nm & 670 nm due to  ${}^{4}F_{9/2} \rightarrow {}^{4}I_{15/2}$ transition [25].

From fig 5.9 (b) for  $12\% \text{Yb}^{3+}$ -  $2\% \text{Ho}^{3+}$ : LaOF was also recorded under 980 nm excitation. Here also, five peaks were observed at 538 nm, 643 nm, 662 nm, 754 nm and 800 nm. The emission at 538 nm is due to the transition from  ${}^{4}\text{F}_{4}$  to  ${}^{5}\text{I}_{8}$ , emission at 643 nm & 662 nm is due to the transition from  ${}^{5}\text{F}_{5}$  to  ${}^{5}\text{I}_{8}$ , emission at 754 nm is due to the transition from  ${}^{5}\text{I}_{4}$  to  ${}^{5}\text{I}_{8}$  & 800 nm due to  ${}^{5}\text{F}_{3} \rightarrow {}^{5}\text{I}_{6}$  transition [25].

From fig 5.9 (c) for 12%Yb<sup>3+</sup>- 2%Tm<sup>3+</sup>: LaOF for the same excitation, the emission at wavelength of 800 nm is due to the  ${}^{3}F_{4} \rightarrow {}^{3}H_{6}$  transitions [25].



Figure 5.10 (a) Energy level diagram for Upconversion photoluminescence of 12%Yb<sup>3+</sup>-2%Er<sup>3+</sup>: LaOF

As shown in fig. 5.10 (a), the excitation energy at 980 nm is absorbed by the Yb<sup>3+</sup> as well as  $Er^{3+}$  ions. The Yb<sup>3+</sup> ions and  $Er^{3+}$  ions have the same energy for the first excited state. The excitation results into transitions from  ${}^{2}F_{7/2} \rightarrow {}^{2}F_{5/2}$  levels in Yb<sup>3+</sup> ions and  ${}^{4}I_{15/2} \rightarrow {}^{4}I_{11/2}$  levels in  $Er^{3+}$  ions. Thus both the ions undergo Ground State Absorption. The energy emitted by the Yb<sup>3+</sup> ions while returning to the ground state is transferred to the  $Er^{3+}$  ions, due to which they are propelled to the higher energy levels as shown in the diagram. The decay from these higher energy levels to the ground state  ${}^{4}I_{15/2}$  in  $Er^{3+}$  ions results in emissions.



Figure 5.10 (b) Energy level diagram for Upconversion photoluminescence of 12%Yb<sup>3+</sup>-2%Ho<sup>3+</sup>: LaOF

As shown in fig 5.10 (b) for  $12\% Yb^{3+} - 2\% Ho^{3+}$ : LaOF, the excitation at 980 nm is absorbed by only  $Yb^{3+}$  ions. This ground state absorption results into the transition  ${}^{2}F_{7/2} \rightarrow {}^{2}F_{5/2}$  and subsequent emission from  ${}^{2}F_{5/2} \rightarrow {}^{2}F_{7/2}$ . The emitted energy also triggers the ground state absorption of Ho<sup>3+</sup> ions from  ${}^{5}I_{8}$ . The emitted energy from  $Yb^{3+}$  ions further excites the Ho<sup>3+</sup> ions at  ${}^{5}I_{6}$  to the higher energy levels. The Ho<sup>3+</sup> ions are further excited to the  ${}^{5}F_{3}$  level via ETU. The decay to  ${}^{5}I_{3}$  level results in emission in the IR region at 800 nm. The decay from these higher energy levels to the ground state  ${}^{5}I_{8}$  in Ho<sup>3+</sup> ions results in visible emissions.



Figure 5.10 (c) Energy level diagram for Upconversion photoluminescence of 12%Yb<sup>3+</sup>-2%Tm<sup>3+</sup>: LaOF

As shown in fig 5.10 (c) for  $12\% Yb^{3+} - 2\% Tm^{3+}$ : LaOF, the excitation at 980 nm is absorbed by only  $Yb^{3+}$  ions. This ground state absorption results into the transition  ${}^{2}F_{7/2} \rightarrow {}^{2}F_{5/2}$  and subsequent emission from  ${}^{2}F_{5/2} \rightarrow {}^{2}F_{7/2}$ . The emitted energy triggers the ground state absorption of  $Tm^{3+}$  ions from  ${}^{3}H_{6}$ . The emitted energy from  $Yb^{3+}$  ions further excites the  $Tm^{3+}$  ions at  ${}^{3}H_{5}$  also to other excited states as shown in figure. The transition from excited states to the ground state  ${}^{3}H_{6}$  of  $Tm^{3+}$  ions results in emissions.

## 5.5 Conclusion:

The nanoparticles of the pristine and doped Lanthanum Oxyfluoride were successfully synthesized by precipitation method using Bael leaf extract also known as Aegle marmelos gel as bio-surfactant. The structural, elemental and optical properties of obtained samples are studied using the XRD, EDAX, UV-Visible and Photoluminescence technique respectively. The average size of synthesized particles is in the range of 34 nm to 88 nm.

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